



TEXAS RE

IRA/COP Expectations for New Registrations

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Roadmap for New Registrations



Risk Team Activities

Inherent Risk Assessment (IRA)

- Identify entity Risk Factors
- Overall risk categorization
- Initiated by Texas RE shortly after NERC letter date

Compliance Oversight Plan (COP)

- Entity specific
- Uses IRA Risk Factors
- Risk categories
- Monitoring interval
- Compliance and Enforcement tool for monitoring



Align & Secure Evidence Locker (SEL)

Align

- When an IRA is initiated, risk questionnaire(s) are sent through Align along with notifications of deadlines
- Primary Compliance Contact (PCC) can assign individual questions
- Once questionnaire is completed, Risk staff works on completing IRA/COP
- Align notification when an approved COP is available
- Approved COPs are in Align and can be exported

SEL

- Validate using “reference ID” from IRA questionnaire to upload supporting documentation
- Example reference ID “*TXRE/NCR99999/IR24-00452/IR24-00452/|/|*”

[Talk with Texas RE](#)
[Using Align for COPs & IRAs](#)

[NERC Help](#)



New Registrations—Resources/FAQs

Texas RE
Align Training

NERC Align-
SEL Training

Align User
Guide

SEL User
Guide



Texas RE Contacts



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The background of the slide features a blurred image of the Texas state flag on the left and a close-up of a wind turbine's hub and blades on the right. The blades are white with red tips. A dark blue rounded rectangle with a thin light blue border is centered over the image.

Questions?



TEXAS RE

Ensuring electric reliability for Texans