



TEXAS RE

Align Attestations

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Texas RE

Manager, O&P Compliance Monitoring

Agenda

What is Align?

Periodic Data Submittal (PDS)

Attestations

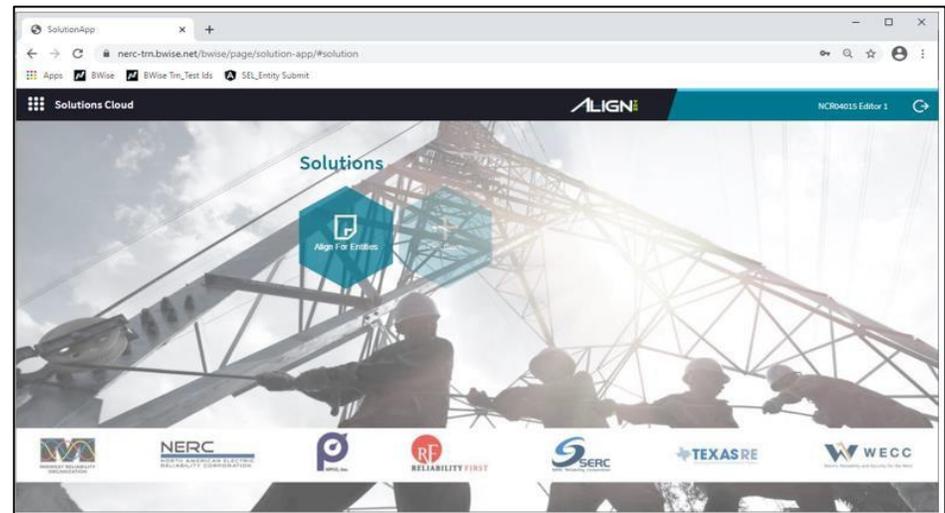
Resources



What is Align?

An ERO Enterprise common platform developed to support compliance monitoring and enforcement (CMEP) activities and business processes

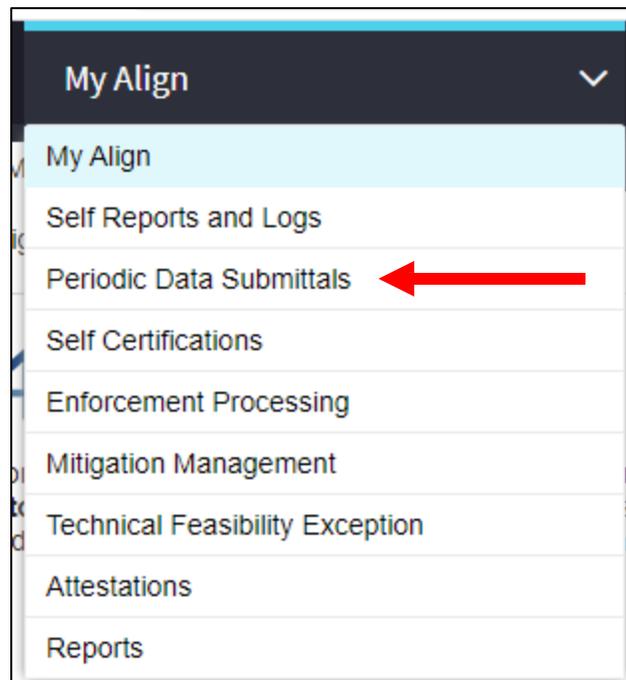
The tool provides a standardized and consistent interface for entities conducting CMEP activities



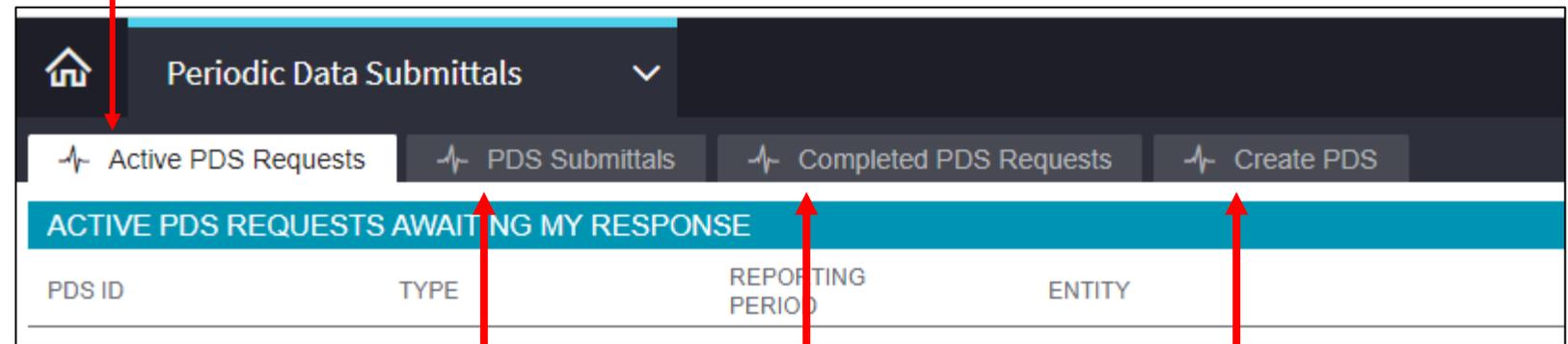
Align PDS

My Align

Navigate to Periodic Data Submittals



Navigate to Active PDS Requests tab



PDS Submittals in
Region review

PDS Submittals which
have completed
Region review

Create event driven
PDS



Attestation for Non-Applicability

The entity can attest if the Standard or Requirement is not applicable

To access the attestation functionality, select the text “Click here to make an Attestation”

7/20/2021 [REDACTED]

General

Unique ID [REDACTED]
 PDS for FAC-003-4 Region/LRE TXRE
 Registration [REDACTED]
 PDS Name [REDACTED]
 Description of Request [REDACTED]
 Submit on or after July 20, 2021 Monitoring Period Start April 1, 2021
 But no later than August 25, 2021 Monitoring Period End June 30, 2021

Questions

Instructions To create a new Assignment click **Click to Assign** below.
 Once Assignment is created click **Edit and Finalize Assignment** to submit Assessment.
 Open the questions below and answer each question.
 Need more time? Scroll down to **Request an Extension**.
 Have evidence to upload? Scroll down to the **Evidence** section.

PDS ASSIGNMENTS

SUBJECT	REVISION	STATUS
FAC-003-4 Assign Again Assigned to [REDACTED] Click here to answer	Initial Response	NEW

Page 1 of 1

[Click here to make an Attestation](#) ←

[Click here to Request an Extension](#)

Evidence

Secure Evidence Locker Instructions Submit Evidence or Attachments related to this item via ERO Secure Evidence Locker (SEL) located at <https://eusstg.eroenterprise.com/nerc-infrastructure> with the following reference number:
 TXRE [REDACTED] [REDACTED] [REDACTED] FAC-003-4|

If the entity is hosting its own SEL, please provide a hyperlink to their locker in the comment section above.

Action

[Update](#) [Close](#)



Attestation for Non-Applicability (cont.)

Create and complete the Attestation by choosing the “+”

This step can only be completed prior to submitting the PDS

7/20/2021 [REDACTED]

Questions

Instructions To create a new Assignment click **Click to Assign** below. Once Assignment is created click **Edit and Finalize Assignment** to submit Assessment. Open the questions below and answer each question. Need more time? Scroll down to **Request an Extension**. Have evidence to upload? Scroll down to the **Evidence** section.

PDS ASSIGNMENTS

SUBJECT	REVISION	STATUS
FAC-003-4 Assign Again Assigned to [REDACTED] Click here to answer	Initial Response	NEW

Page 1 of 1

[Click here to make an Attestation](#)

CREATE ATTESTATION			ATTESTATION OVERVIEW				
ATTESTATION SCOPE	FUNCTION	CREATE ATTESTATION	ATTESTATION ID	ATTESTATION SCOPE	EFFECTIVE DATE	RENEWAL DATE	STATUS
FAC-003-4	GO	+	AE [REDACTED]	FAC-003-4	03/22/2021		Requested
			AE [REDACTED]	FAC-003-4	03/21/2021	10/20/2021	Approved
			AE [REDACTED]	FAC-003-4	03/18/2021		Requested
			AE [REDACTED]	FAC-003-4	03/05/2021		Requested
			AE [REDACTED]	FAC-003-4	03/01/2021	10/20/2021	Approved

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[Click here to Request an Extension](#)

Evidence

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TXRE [REDACTED] [REDACTED] [REDACTED] FAC-003-4||

If the entity is hosting its own SEL, please provide a hyperlink to their locker in the comment section above.

[Update](#) [Close](#)



Attestation for Non-Applicability (cont.)

Notes section must be completed with non-applicability details

- Example: Lines owned by the entity are not longer than 1 mile and have a clear line of sight. In addition, the lines operate under 200 kV and are not part of an IROL.

All attestations are reviewed by Texas RE

- Providing as much detail as possible in the notes smooths the process
- Attestations can be rejected

Create Applicability Exception

General

Source Attestation

Registration [REDACTED] Function(s) GO

Standard FAC-003-4 Requirement Part

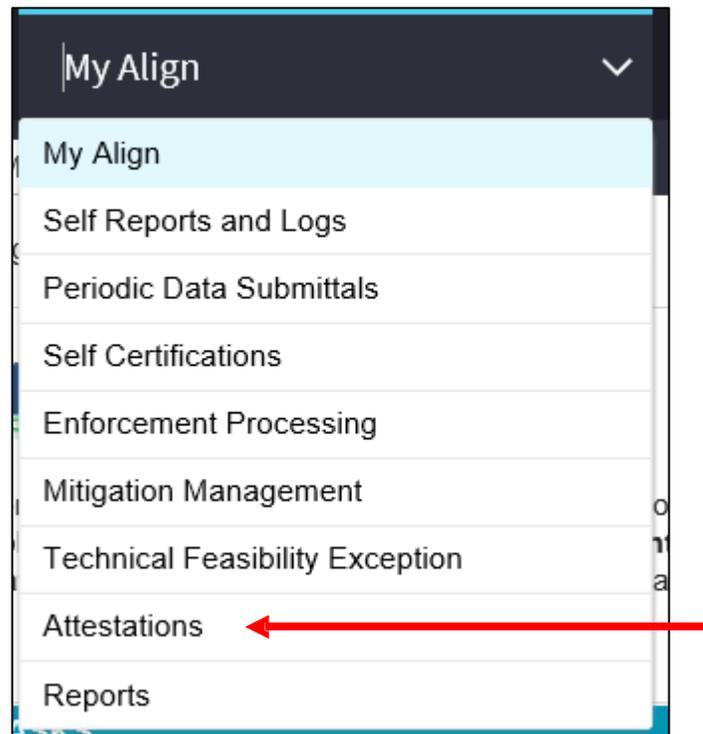
Notes

FORM: 01_Create_Attestation

Update Close

Checking Status of Attestations

If your entity has supplied an attestation in Align, it will appear under My Align, in Attestations



Checking Status of Attestations (cont.)

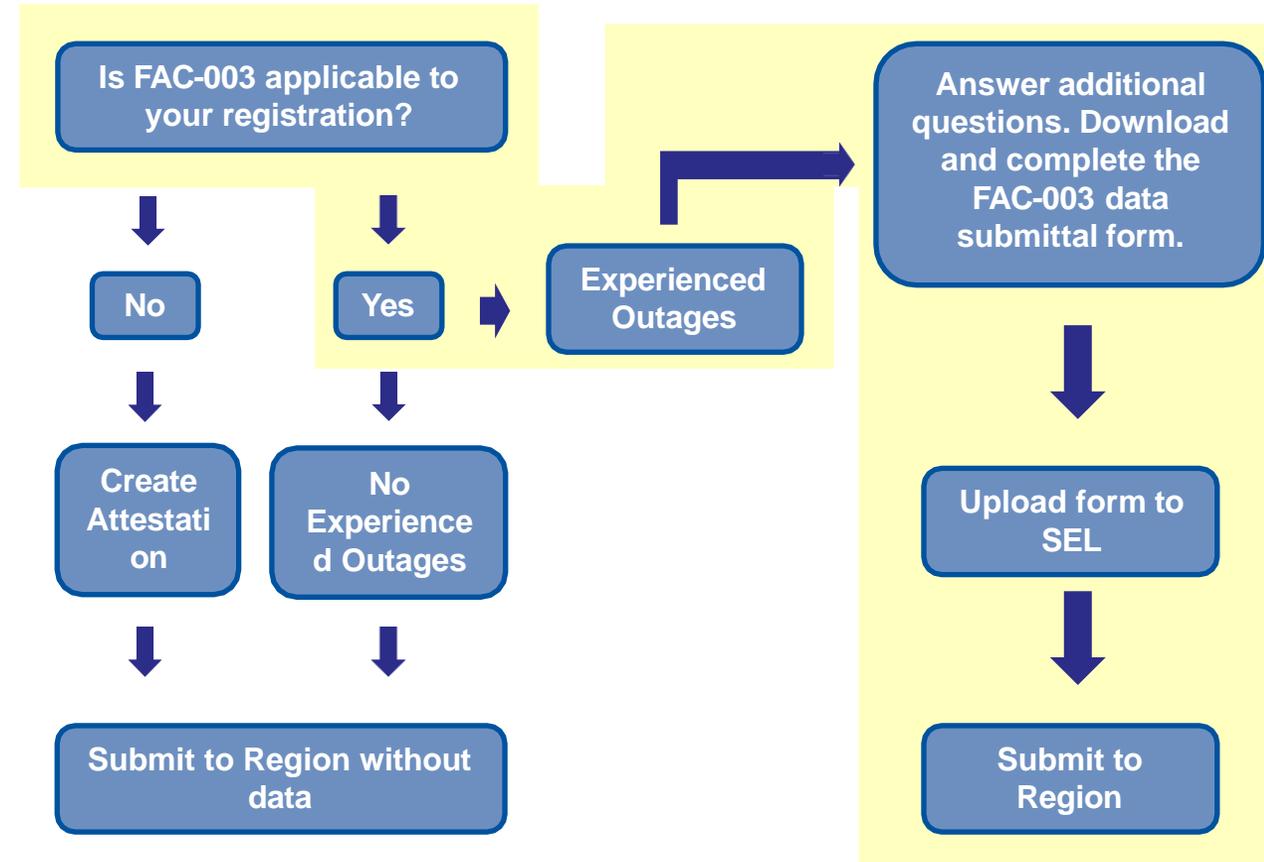
Navigating to Attestations will allow the review of all attestations

The screenshot displays the 'Attestations' management interface. At the top, there is a navigation bar with a home icon, the title 'Attestations', and a dropdown arrow. Below this is a sub-navigation bar with four tabs: 'Active Attestations' (selected), 'Attestations in Review', 'Attestations to Reaffirm', and 'Inactive Attestations'. On the right side of the sub-navigation bar, there is a button labeled 'Align For Entities' and a user identifier 'NCR9999999 TX Editor 1'. The main content area shows a table titled 'MY APPROVED ACTIVE ATTESTATIONS'. The table has columns for 'REGISTRATION', 'APPLICABLE TO', 'EFFECTIVE DATE', and 'RENEWAL DATE'. A single row is visible with the following data: 'REGISTRATION: NCR9999999 - TXRE - Training Energy Corp. TXRE in TXRE', 'APPLICABLE TO: FAC-003-4', 'EFFECTIVE DATE: 06/30/2021', and 'RENEWAL DATE: 06/30/2022'. Below the table, there are four red-bordered boxes with arrows pointing to the corresponding tabs: 'Active Attestations' points to the 'Active Attestations' tab; 'Attestations in review with the Region' points to the 'Attestations in Review' tab; 'Attestations due for Reaffirmation' points to the 'Attestations to Reaffirm' tab; and 'Expired and Inactive Attestations' points to the 'Inactive Attestations' tab. At the bottom of the interface, there is a pagination bar showing 'Page 1 of 1' and navigation icons.

REGISTRATION	APPLICABLE TO	EFFECTIVE DATE	RENEWAL DATE
NCR9999999 - TXRE - Training Energy Corp. TXRE in TXRE	FAC-003-4	06/30/2021	06/30/2022

FAC-003 Data Submittal Qualifying Question

A FAC-003 attestation is not needed when declaring no vegetation outages were experienced for the quarter



Resources

Registered entity PDS videos – 7

- Approx. 15 minutes

User Guides:

- [Registered Entities: Release 2 User Guide](#)
- [Registered Entities: Release 2 Process Harmonization](#)
- [Registered Entities: Release 2 Start Stop Continue Guide](#)

Texas RE website: [Align page](#)

Texas REview, Align Newsletters, announcements, and social media

Visit NERC's [Align page](#)



The background of the slide features a blurred Texas state flag on the left and a target with several darts on the right. The darts are clustered in the center of the target, suggesting a focus on a specific point.

Questions?



TEXAS RE

Ensuring electric reliability for Texans