

Risk Factor, Registered Functions	CRITERIA			
	RISK LEVEL			
	N/A	LOW	MEDIUM	HIGH
<b>Balancing Authority (BA) Coordination</b> BA	Entity does not meet any of the identified criteria	Entity's BA Area has less than 5,000 MW of generation capacity	Entity's BA Area has between 5,000 - 10,000 MW of generation capacity	Entity's BA Area has greater than 10,000 MW of generation capacity - or - Entity's BA Area has greater than 5,000 MW of generation capacity and its Generation to Peak Load ratio is more than 1.2
<b>CIP - External Electronic Communication</b> BA, DP, GO, GOP, RC, TO, TOP	Entity has no external connectivity	Entity has external connectivity within the Registered Entity	Entity has external connectivity only to NERC Registered Entities	Entity has external connectivity to non-registered entities - i.e., any other third party
<b>CIP - Impact Rating Criteria</b> BA, DP, GO, GOP, RC, TO, TOP	Entity has no BES Cyber Systems (BCS)	Entity has one or more low impact BCS(s)	Entity has one or more medium impact BCS(s)	Entity has one or more high impact BCS(s)
<b>CIP - Monitor and Control Capability</b> BA, GO, GOP, RC	Entity has no monitor and control capability	Entity has monitor and control capability	Entity has monitor and control capability with an aggregate 1500 MW at a single Control Center	Entity has monitor and control capability with an aggregate 3000 MW at a single Control Center
<b>Critical Transmission</b> BA, PC, RC, TO, TOP, TP	Entity does not own, operate, coordinate, plan, design, or monitor the status of transmission facilities	Entity's system is not critical to adjacent entities as it is not being used as a flow through system for power flow	Entity's system is critical to adjacent entities as it is being used as a flow through system for power flow	Entity's system includes elements (owned or operated) of an IROL / Flowgate / Major Transmission Path (WECC) / Generic Transmission Limit (Texas RE) / Cranking Path
<b>Largest Generator Facility</b> GO, GOP	Entity does not own any generation facilities	Entity's largest single generation facility is less than 500 MVA	Entity's largest single generation facility is between 500 - 1,000 MVA	Entity's largest single generation facility is greater than 1,000 MVA
<b>Load</b> DP, TO, TSP	Entity does not have any system load	Entity's system load is less than 300 MW	Entity's system load is between 300 - 1,000 MW	Entity's system load is greater than 1,000 MW
<b>Planned Facilities</b> GO, RP, TO, TP	Entity does not meet any of the identified criteria	Entity is planning on or currently building transmission facilities less than 200 kV in the next three years - or - Entity is planning on or currently building generation facilities that are less than 500 MVA in the next three years	Entity is planning on or currently building transmission facilities between 200 - 300 kV in the next three years - or - Entity is planning on or currently building generation facilities that are between 500 and 1,000 MVA in the next three years	Entity is planning on or currently building transmission facilities greater than 300 kV in the next three years - or - Entity is planning on or currently building generation facilities greater than 1,000 MVA in the next three years
<b>RAS/SPS</b> DP, GO, RC, TO, TP, TOP	Entity does not own, operate, coordinate, plan, design, or monitor the status of a RAS/SPS	-----	Entity owns or designed a RAS/SPS that is not needed to meet TPL requirements - or - Entity owns or operates equipment that is part of a RAS/SPS that is not needed to meet TPL requirements	Entity owns or designed a RAS/SPS that is needed to meet TPL requirements - or - Entity owns or operates equipment that is part of a RAS/SPS that is needed to meet TPL requirements
<b>System Restoration</b> DP, GO, GOP, RC, TO, TOP	Entity has no responsibilities during system restoration	Entity has regional or company system restoration responsibilities limited to load restoration	Entity has Blackstart Resource(s) - or - Entity provides switching or other logistics based on the direction from a different entity responsible for the restoration plan	Entity is an RC - or - Entity is responsible for independent actions coordinated with an RC
<b>Total Generation Capacity</b> GO, GOP	Entity does not own or operate any generation facilities	Entity's total generation nameplate capacity is less than 1,000 MVA	Entity's total generation nameplate capacity is between 1,000 - 5,000 MVA	Entity's total generation nameplate capacity is greater than 5,000 MVA

<b>Transmission Portfolio - ERO</b> BA, PC, RC, TO, TOP, TP	Entity does not own, operate, coordinate, plan, design, or monitor the status of transmission facilities	Entity has transmission facilities less than 200kV	Entity has transmission facilities between 200 - 300 kV - or - Entity has over 1,000 miles of transmission lines 100 kV or greater	Entity has transmission facilities greater than 300 kV - or - Entity has over 4,000 miles of transmission lines 200 kV or greater
<b>UFLS Development and Coordination</b> PC	Entity is not responsible for developing or coordinating a UFLS program	Entity is responsible for developing and/or coordinating a UFLS program for less than 500 MWs of load	Entity is responsible for developing and/or coordinating a UFLS program for 500 to 900 MWs of load	Entity is responsible for developing and/or coordinating a UFLS program for more than 900 MWs of load
<b>UFLS Equipment</b> DP, DPUF, TO	Entity does not own or operate UFLS equipment	Entity is responsible for less than 5% of the entire regionally identified UFLS program	Entity is responsible for between 5% and 15% of the entire regionally identified UFLS program	Entity is responsible for more than 15% of the entire regionally identified UFLS program
<b>UVLS</b> DP, TO, TOP	Entity does not have any UVLS responsibilities	-----	Entity is responsible for 1 - 250 MWs of load shed by a UVLS program	Entity is responsible for greater than 250 MWs of load shed by a UVLS program
<b>Variable Generation</b> BA	Entity does not meet any of the identified criteria	Less than 10% of the entity's BA Area total generation nameplate MVA is comprised of non-dispatchable generation	10% - 25% of the entity's BA Area total generation nameplate MVA is comprised of non-dispatchable generation	Over 25% of the entity's BA Area total generation nameplate MVA is comprised of non-dispatchable generation
<b>Voltage Control</b> GO, GOP, TO, TOP	Entity does not own or operate any voltage control equipment	-----	Entity owns and/or operates reactive resources to provide voltage control	Entity owns and/or operates reactive resources other than generators to provide voltage control
<b>Workforce Capability</b> BA, RC, TOP	Entity does not meet any of the identified criteria	Less than 25% of the entity's System Operators have less than 5 years of System Operator experience	Between 25 - 50% of the entity's System Operators have less than 5 years of System Operator experience	Greater than 50% of the entity's System Operators have less than 5 years of System Operator experience