

## A. Introduction

1. **Title: Sabotage Reporting**
2. **Number:** CIP-001-TRE-1
3. **Purpose:** Disturbances or unusual occurrences, suspected or determined to be caused by sabotage, shall be reported to the appropriate systems, governmental agencies, and regulatory bodies. This regional variance extends these requirements to the Transmission Owner and Generator Owner functions.
4. **Applicability:**
  - 4.1. Transmission Owners
  - 4.2. Generator Owners
5. **(Proposed) Effective Date:** The first day of the first calendar quarter following final regulatory approval. (Note: This standard expires one year from the approval date, unless renewed.)

## B. Requirements

- R1. Each Transmission Owner and Generator Owner shall have procedures for the recognition of and for making their operating personnel aware of sabotage events on its facilities and multi-site sabotage affecting larger portions of the Interconnection. *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
- R2. Each Transmission Owner and Generator Owner shall have procedures for the communication of information concerning sabotage events to appropriate parties in the Interconnection. *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
- R3. Each Transmission Owner and Generator Owner shall provide its operating personnel with sabotage response guidelines, including personnel to contact, for reporting disturbances due to sabotage events. *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
- R4. Each Transmission Owner and Generator Owner shall establish communications contacts with local Federal Bureau of Investigation (FBI) officials and develop reporting procedures as appropriate to their circumstances. *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*

## C. Measures

- M1. Each Transmission Owner and Generator Owner shall have and provide upon request a procedure (either electronic or hard copy) as defined in Requirement 1.
- M2. Each Transmission Owner and Generator Owner shall have and provide upon request the procedures or guidelines that will be used to confirm that it meets Requirement 2.
- M3. Each Transmission Owner and Generator Owner shall have and provide upon request the procedures or guidelines that will be used to confirm that it meets Requirement 3.
- M4. Each Transmission Owner and Generator Owner shall have and provide upon request evidence that could include, but is not limited to procedures, policies, a letter of

understanding, communication records, or other equivalent evidence that will be used to confirm that it has established communications contacts with local FBI officials to communicate sabotage events (Requirement 4).

**D. Compliance**

**1. Compliance Monitoring Process**

**1.1. Compliance Enforcement Authority**

Regional Entity shall be responsible for compliance monitoring.

**1.2. Data Retention**

Each Transmission Owner and Generator Owner shall have documents available as evidence of compliance as specified in each of the Measures for the period being assessed.

If an entity is found non-compliant the entity shall keep information related to the non-compliance until found compliant or for two years plus the current year, whichever is longer.

Evidence used as part of a triggered investigation shall be retained by the entity being investigated for one year from the date that the investigation is closed, as determined by the Compliance Monitor.

The Compliance Monitor shall keep the last periodic audit report and all requested and submitted subsequent compliance records.

**1.3. Compliance Monitoring and Assessment Processes**

One or more of the following methods will be used to verify compliance:

- Self-certification (Conducted annually with submission according to schedule)
- Spot Check Audits (Conducted anytime with up to 30 days notice given to prepare)
- Periodic Audits (Conducted once every six years according to schedule)
- Triggered Investigations (Notification of an investigation must be made within 60 days of an event or complaint of noncompliance. The entity will have up to 30 days to prepare for the investigation. An entity may request an extension of the preparation period and the extension will be considered by the Compliance Monitor on a case-by-case basis.)

**1.4. Additional Compliance Information**

None

**2. Violation Severity Levels**

R #	Lower VSL	Moderate VSL	High VSL	Severe VSL
<b>R1</b>	N/A	N/A	The responsible entity has	The responsible entity failed to

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			procedures for the recognition of sabotage events on its facilities and multi site sabotage affecting larger portions of the Interconnection but does not have a procedure for making their operating personnel aware of said events.	have procedures for the recognition of and for making their operating personnel aware of sabotage events on its facilities and multi site sabotage affecting larger portions of the Interconnection.
<b>R2</b>	N/A	N/A	The responsible entity has demonstrated the existence of a procedure to communicate information concerning sabotage events, but not all of the appropriate parties in the interconnection are identified.	The responsible entity failed to have a procedure for communicating information concerning sabotage events.
<b>R3</b>	N/A	The responsible entity has demonstrated the existence of a response guideline for reporting disturbances due to sabotage events, but the guideline did not list all of the appropriate personnel to contact.	The responsible entity has demonstrated the existence of a response guideline for reporting disturbances due to sabotage events, including all of the appropriate personnel to contact, but the guideline was not available to its operating personnel.	The responsible entity failed to have a response guideline for reporting disturbances due to sabotage events.
<b>R4</b>	N/A	N/A	The responsible entity has established communications contacts with local	The responsible entity failed to establish communications contacts with local

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			Federal Bureau of Investigation (FBI), but has not developed a reporting procedure.	Federal Bureau of Investigation (FBI), nor developed a reporting procedure.
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**E. Regional Variances**

None.

**F. Associated Documents**

**Version History**

<b>Version</b>	<b>Date</b>	<b>Action</b>	<b>Change Tracking</b>